

DECLARATION FOR UTILITY PATENT APPLICATION

As below-named inventor, I hereby declare that:

My name, residence address and country of citizenship are as stated below.

Name: Hark C. Chan
Address: 861 Brent Drive, Cupertino, CA 95014
Citizenship: USA

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

INFORMING DISTRIBUTION SYSTEM

the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulation, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>08/939,368</u>	<u>September 29, 1997</u>	<u>pending</u>
<u>08/644,838</u>	<u>May 10, 1996</u>	<u>abandoned</u>
<u>08/279,424</u>	<u>July 25, 1994</u>	<u>abandoned</u>
<u>08/255,649</u>	<u>June 8, 1994</u>	<u>abandoned</u>
(Application Serial No.)	(Filing Date)	(Patented, Pending, Abandoned)

I hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Signatures of Inventor

Date



(Hark Chan)

Nov 7, 1998



Phone: (408) 882-5063

Ho

THE UNIVERSITY OF CHICAGO PRESS

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37CFR 1.9(f) & 1.27(b))-INDEPENDENT INVENTOR**

Docket Number (Optional)
Chan-003

Applicant or Patentee: Hark C. Chan
Application or Patent No.: _____
Filed or Issued: Herewith

Title: Information Distribution System

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:


- ☒ No such person, concern, or organization exists.
☐ Each such person, concern, or organization is listed below.

Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Hark C. Chan
NAME OF INVENTOR


Signature of Inventor

Date: Nov 7, 1998

Burden Hour Statement: This form is estimated to take 0.3 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231.